

S. No	Course Code	Course Name	Course Type	Cd	L	T	P	Marks		
								Sessional	Final Exam	Total
2	BFSMJ-402	Introduction to Financial Markets	Major	4	4	0	0	40	60	100

COURSE OUTCOMES:

At the end of the course the student will be able to: -	
CO1	Describe the structure of financial system
CO2	Explore the various participants and instruments of money market.
CO3	Analyse the role of stock exchanges in India and SEBI's strategies for investor protection
CO4	Assess the processes and regulations involved in corporate IPOs and listing requirements
CO5	Evaluate the functions and evolution of secondary markets in India

Detailed Syllabus**Section A**

Unit I Introduction: An Introduction to Financial System, its Components – Financial Markets, and Institutions. Financial Intermediation, Financial System and Economic Development, An Overview of Indian Financial System. Financial Sector Reforms since liberalization 1990-91.

(10 Hrs)

Unit II Money Market: Meaning, Functions, Players and intermediaries, Instruments - treasury bills, commercial paper, commercial bills, certificate of deposit, Call money market, corporate funding through money market

(09 Hrs)

Unit III Capital Markets: Introduction, role and functions. Components of Capital market. Instruments of Capital Markets, Primary and Secondary Markets, Role of Stock Exchanges in India. SEBI and Investor Protection

(09 Hrs)**Section B**

Unit IV Primary market: Constituents, Instruments, Financial intermediaries, Issue process, Fixed pricing, Book building and its process, Sourcing from international capital markets, corporate requirements of listing and other issue procedures and regulations as prescribed under Companies Act and SEBI Regulations, Different types of Prospectuses used in corporate IPO, Marketing initiatives for IPO, Preparation of prospectus.

(10 Hrs)

Unit V Secondary markets: Meaning, Development of secondary markets in India, Constituents stock exchanges and its functions, Brokers, Functions of trading and settlement procedure-Stock Exchanges in India-BSE, NSE, OTCEI, Internet trading, Commodity, currency and other emerging exchanges, Depository System, Indian depositories and Depository participants.

(10 Hrs)**Textbooks**

S. No.	Name of the Books	Author	Publisher	Edition (Pub. Yr.)
1	Financial Markets and Institutions	Gurusamy,s. ,	Tata McGraw Hill.	3 rd (2011)
2	Financial Markets and Institutions	Frederic S. Mishkin and Stanley Eakins	Pearson Education	8 th (2017)

Reference Books

S. No.	Name of the Books	Author	Publisher	Edition (Pub. Yr.)
1	Indian Financial System	Khan M. Y	Tata McGraw Hill	11 th (2019)