



Kot Bhalwal, Jammu

Model Institute of Engineering  
& Technology (Autonomous)  
Course Handout

## COURSE HANDOUT

ETHICS AND CORPORATE GOVERNANCE (BBALLB-304)

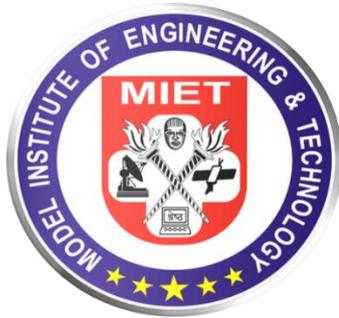
BBALLB HONOURS – 3<sup>rd</sup> SEMESTER

ACADEMIC YEAR (2024-25)

**Dr. Priyanka Sharma**

Associate Professor

UG-School of Management



School of Law

Model Institute of Engineering & Technology (Autonomous)

Kot Bhalwal, Jammu - 181122

[www.mietjmu.in](http://www.mietjmu.in)



Dr. Arun K. Gupta Teaching-Learning Centre

Version 1.1

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Please Do Not Print Unless Necessary

	Course Code	Course Name	Course Type	Cd	L	T	P	Marks		
								Sessional	Final Exam	Total
1	BBALLB-304	Business Ethics and Corporate Governance	Generic Core	3	3	0	0	30	70	100

### Course Outcomes

At the end of the course the student will be able to: -	
CO1	Understand the business ethics and issues involved in maintaining ethics.
CO2	Illustrate the meaning and concepts of corporate governance.
CO3	Examine the various committees and models of corporate governance.
CO4	Assess the relevant issues in corporate governance.
CO5	Appraise the concepts and issues in corporate social responsibility

### Detailed Syllabus

#### Unit-I: Business Ethics

Morality and Ethics, Business Values and Ethics, Approaches and Practices of Business Ethics, Corporate Ethics, Ethics Program, Codes of Ethics, Ethics Committee; Ethical Behaviour: Concepts and Advantages, Environmental Ethics, Corruption and Gender Issues—Gender Ethics, Sexual Harassment and Discrimination.

(08 Hrs)

#### Unit-II: Corporate Governance

Meaning, Definition, Evolution, Historical Perspective of Corporate Governance, Nature and Scope of Corporate Governance, Need for Corporate Governance, Essentials of Corporate Governance, Objectives of Corporate Governance, Benefits and Limitations of Corporate Governance, Structure, Theories

(07 Hrs)

#### Unit-III: Corporate Governance Committees And Models

CG Committees: Cadbury Committee, Greenbury Committee, Hampel Committee, Sarbanes- Oxley Act, 2002, Blue Ribbon Committee, King Committee, Kumara Mangalam Birla Committee, Narayana Murthy Committee, CII Task Force Committee – CG Models: Anglo- American, German, Japanese and Indian Model.

(08 Hrs)

#### Unit-IV: Issues in Corporate Governance

Role of Promoters, Nominee Directors, Mismanagement, Corporate Frauds - Negligent Role of Auditors, Banks-Supervision and Control of Stock Exchanges – Whistle Blowing Policy - RBI – Ministry of Corporate Affairs – Towards Building Ethical and Sustainable Organization.

(07 Hrs)

#### Unit-V: Corporate Social Responsibility

Concept of CSR, Corporate Philanthropy, Strategic Relationship of CSR with Corporate Sustainability; CSR and Business Ethics, CSR and Corporate Governance; CSR provisions under the Companies Act, 2013.

(06 Hrs)

### Textbooks

S. No.	Name of the Books	Name of the Author	Publisher Name	Edition (Pub. Yr.)
1	Business Ethics and Corporate Governance	Prof. K. Viyyanna Rao, Dr. G. Nagaraju	I.K. International Publishing House Pvt. Ltd.	1 <sup>st</sup> ed., 2017
2	Business Ethics and Corporate Governance	C.S.V. Murthy	Himalaya Publications	1 <sup>st</sup> ed., 2019

### Reference Books

S. No.	Name of the Books	Name of the Author	Publisher Name	Edition (Pub. Yr.)
1	Business Ethics and Corporate Governance	BN Ghosh	Mc Graw Hill	2016

MIET (Autonomous),



<b>COURSE PLAN</b>		
<b>Unit-I Business Ethics</b>		
<b>S. No</b>	<b>Topics</b>	<b>Recommended Books</b>
1	Morality and Ethics,	Book 2, Ch.1
2	Business Values and Ethics	Book 2, Ch.1
3	Approaches and Practices of Business Ethics	Book 2, Ch.1
4	Corporate Ethics, Ethics Program	Book 2, Ch.13
5	Codes of Ethics, Ethics Committee; Ethical Behavior: Concepts and Advantages	Book 2, Ch.2
6	Environmental Ethics	Book 2, Ch.7
7	Gender Ethics and corruption	Book 2, Ch.15, Ch 8
8.	Sexual Harassment and Discrimination.	Book 2, Ch.15
<b>Unit-II Corporate Governance</b>		
8	Meaning, Definition,	Book 1, Ch.13
9	Evolution, Historical Perspective of Corporate Governance	Book 1, Ch.18
10	Nature and Scope of Corporate Governance,	Book 1, Ch.16
11	Need for Corporate Governance	Book 1, Ch.16
12	Essentials of Corporate Governance,	Book 1, Ch.16
13	Objectives of Corporate Governance	Book 1, Ch.16
14	Benefits and Limitations of Corporate Governance, Limitations of Corporate Governance, Structure, Theories	Book 2, Ch.2
<b>Unit-III Corporate Governance Committees and Models</b>		
15	CG Committees: Cadbury Committee,	Book 1, Ch 18, Ch 13 <a href="https://www.scribd.com/presentation/130724843/Various-Committees-on-Corporate-Governance">https://www.scribd.com/presentation/130724843/Various-Committees-on-Corporate-Governance</a>
16	Greenbury Committee	Book 1, Ch 18
17	Hampel Committee, Sarbanes- Oxley Act, 2002 Blue Ribbon Committee, King Committee, Kumara Mangalam Birla Committee	Book 1, Ch 18
18	Narayana Murthy Committee, CII Task Force Committee – CG Models: Anglo- American, German, Japanese and Indian Model.	Book 1, Ch 18
<b>Unit-IV Issues in Corporate Governance</b>		
17	Role of Promoters, Nominee Directors, Mismanagement,	chrome-extension://efaidnbmnnnibpcajpcgiclfindmkaj/https://www.icsi.edu/media/webmodules/Final_GRME_C_Book_14_09_2020.pdf
18	Corporate Frauds - Negligent Role of Auditors,	chrome-extension://efaidnbmnnnibpcajpcgiclfindmkaj/https://www.icsi.edu/media/webmodules/Final_GRME



		C_Book_14_09_2020.pdf
19	Banks- Supervision and Control of Stock Exchanges	chrome-extension://efaidnbmnnnibpcajpcgklcfindmkaj/https://www.icsi.edu/media/webmodules/Final_GRME_C_Book_14_09_2020.pdf
20	Whistle Blowing Policy - RBI – Ministry of Corporate Affairs – Towards Building Ethical and Sustainable Organization.	chrome-extension://efaidnbmnnnibpcajpcgklcfindmkaj/https://www.icsi.edu/media/webmodules/Final_GRME_C_Book_14_09_2020.pdf
21	Ministry of Corporate Affairs – Towards Building Ethical and Sustainable Organization.	chrome-extension://efaidnbmnnnibpcajpcgklcfindmkaj/https://www.mca.gov.in/Ministry/latestnews/National_Voluntary_Guidelines_2011_12jul2011.pdf
<b>Unit-V Corporate Social Responsibility</b>		
22	Concept of CSR	Book 1, Ch 17
23	Corporate Philanthropy	Book 1, Ch 17
24	Strategic Relationship of CSR with Corporate Sustainability	Book 1, Ch 17
25	CSR and Business Ethics	Book 1, Ch 17
26	CSR and Corporate Governance;	Book 1, Ch 17
27	CSR provisions under the Companies Act, 2013	Book 1, Ch 17

#### ADDITIONAL WEB RESOURCES

1.	NEPTEL Videos on Business ethics <a href="https://archive.nptel.ac.in/courses/110/105/110105079/Video">https://archive.nptel.ac.in/courses/110/105/110105079/Video</a>
2.	MOOC Course on Ethics <a href="https://www.coursera.org/learn/environmental-management-ethics">https://www.coursera.org/learn/environmental-management-ethics</a>
3.	Coursera course <a href="https://www.coursera.org/programs/bba-b-com-faculty-learning-program-nz440/learn/responsible-management?source=search">https://www.coursera.org/programs/bba-b-com-faculty-learning-program-nz440/learn/responsible-management?source=search</a>

#### GRADING AND ASSESSMENT

- **Sessional Test:** 15 marks
- **Assignment:** 10 marks
- **Attendance:** 5 marks
- **Final Examination:** 70 marks

#### COURSE POLICIES

- **Attendance:** Minimum 75% attendance is mandatory to appear in the final examination of the course.
- **Academic Integrity:** MIET's academic integrity policies apply. Plagiarism will not be tolerated.
- **Late Submissions:** Assignments and projects must be submitted by the specified timelines



### **FACULTY INFORMATION**

- **Office Hours**  
Tuesday (12:55 PM - 1:45 PM)  
Wednesday (12:55 PM - 1:45 PM)
- **Contact Information**  
[priyankasharma.mba@mietjammu.in](mailto:priyankasharma.mba@mietjammu.in)