

COURSE HANDOUT

Management of Financial Services (MBA- 322)

MBA-3rd SEMESTER

ACADEMIC YEAR (2024-25)

Sunanjita Mahajan

Assistant Professor

School of Management



School of Management

Model Institute of Engineering & Technology (Autonomous)

Kot Bhalwal, Jammu - 181122

www.mietjmu.in

Course Code	Course Name	Course Type	Cd	L	T	P	Marks		
							Sessional	Final Exam	Total
MBA 322	Management of Financial Services	Elective	4	4	0	0	30	70	100

COURSE OUTCOMES

At the end of the course the student will be able to:

CO1	Describe the basics of financial system, services, institutions, markets and its regulatory framework.
CO2	Assess the financial market dynamics, indices, and its mechanism.
CO3	Examine investor's protection provided by credit rating agencies and investment management through collective investment vehicles..
CO4	Comprehend the merchant banking services and strategic business decisions
CO5	Evaluate contemporary financial services like housing finance, cash management services etc

Detailed Syllabus

Section A

Unit I: Financial Services-An overview: Financial System-its components, financial institutions, financial markets, participants and instruments, Innovations in the financial services industry and its regulatory framework.

(10 Hrs)

Unit II: Financial Markets: Typology, primary and secondary financial market activities, Stock market operations-trading mechanism in stock exchanges, functional specialization of members, stock market indices and their implications.

(10 Hrs)

Unit III: Investor Protection and investment management services: Credit rating- concept, mechanism and significance in investment decision making. Role of credit rating agencies- CRISIL, ICRA, ONICRA, CARE, etc. Collective Investment Vehicles- Mutual funds management, role of mutual funds in retail investment.

(10 Hrs)

Section B

Unit IV: Merchant Banking Services: Initial public offering, issue management, pre-issue and post-issue services. Strategic Financial Decisions and Corporate Restructuring services-mergers, amalgamations, acquisitions and takeovers.

(09 Hrs)

Unit V: Contemporary financial services- Housing finance, Cash Management services, Debt securitization, Forfeiting and Credit cards

(09 Hrs)

Textbooks

S.No	Name of the Books	Name of the Author	Publisher Name	Edition (Pub.Yr.)
1	Financial Services	MY Khan	Tata Mc-Graw Hill	10 th ed, 2020
2.	Financial Services in India	Financial Services	HPH	10 th ed, 2016

Reference Books

S.No	Name of the Books	Name of the Author	Publisher Name	Edition (Pub.Yr.)
1	Financial Services	Shanmugham,R	Wiley	2 nd (2017)

COURSE PLAN



Unit-I Financial Services: an Overview		
S.No	Topics	Recommended Books / Links
1	Financial Systems- Introduction and Components	Financial Institutions and Markets L M Bhole. Tata Mc Graw Hill. Chapter 1:
2	Financial Instruments	Chapter 1: Financial Institutions and Markets L M Bhole. Tata Mc Graw Hill Book 1 Chapter 1
3	Financial Markets: Introduction and Components	Chapter 1: Financial Institutions and Markets L M Bhole. Tata Mc Graw Hill Book 3: Chapter 1 and Chapter 3
4	Objectives of Financial Markets	Book 3: Chapter 1
5	Instruments of Financial Market	https://groww.in/blog/financial-regulatory-bodies-in-india
6	Participants in Financial Market	https://www.britannica.com/money/market-makers-institutional-investors
7	Innovations in Financial Services Industry	Book 3: Chapter 17
8	Regulatory Framework	https://groww.in/blog/financial-regulatory-bodies-in-india Financial Institutions and Markets L M Bhole. Tata Mc Graw Hill: Chapter 4
Unit-II Financial Markets		
9	Typology of Financial Market	Book 3: Chapter 3
10	Primary Market Activities	https://www.investopedia.com/terms/p/primarymarket.asp https://www.smallcase.com/learn/what-is-primary-market/#:~:text=Functions%20of%20Primary%20Market,-The%20primary%20market&text=The%20primary%20market%20is%20a%20vital%20source%20of%20capital%20for,needed%20to%20grow%20their%20businesses.
11	Secondary Market Activities	https://www.smallcase.com/learn/what-is-secondary-market/#:~:text=(OTC)%20markets,-,The%20Function%20of%20Secondary%20Market,and%20of%20facilitating%20the%20transaction%20process
12	Stock Market	https://www.toppr.com/guides/business-studies/financial-markets/stock-exchange/ https://www.geeksforgeeks.org/trading-procedure-on-a-stock-exchange/
13	Stock Market Operations: Trading Mechanism	https://www.geeksforgeeks.org/trading-procedure-on-a-stock-exchange
14	Stock Market Indices	Book 3: Chapter 15
15	Implications of Stock Market Indices	https://corporatefinanceinstitute.com/resources/career-map/sell-side/capital-markets/stock-market-index/
Unit-III Investor Protection and investment management services		
16	Credit Rating: Introduction	Book 1: Chapter 18
17	Credit Rating Mechanism	Book 1: Chapter 18

18	Types of Credit rating	Book 3: Chapter 25
19	Benefits of Credit Rating	Book 3: Chapter 25
20	Significance of Credit Rating in Investment Decisions	https://www.iifl.com/blogs/cibil-score/credit-rating-what-it-is-and-why-its-important-to-investors
21	Credit Rating Agencies	Book 1: Chapter 18 Book 3: Chapter 25
22	Role of Credit Rating Agencies	Book 1: Chapter 18
23	Collective Investment Fund	https://www.investopedia.com/terms/c/collective-investment-fund.asp
24	Mutual Funds: Concept and Management	Book 1: Chapter 12
25	Role of Mutual Funds for Retail Investors	https://www.miraeassetmf.co.in/knowledge-center/benefits-of-investing-in-mutual-funds
Unit-IV Merchant Banking Services		
26	Initial Public Offering	Book 1: Chapter 14
27	Issue Management	Book 1: Chapter 14
28	Pre issue and Post issue Services	Book 1: Chapter 15
29	Strategic Financial Management	https://www.investopedia.com/terms/s/strategic-financial-management.asp
30	Corporate Restructuring	Book 1: Chapter 16
31	Mergers/ Acquisitions	Book 1: Chapter 16
32	Acquisition/ Takeover	Book 1: Chapter 16
Unit-V Contemporary Financial Services		
33	Housing Finance: Introduction	Book 1: Chapter 8
34	Housing Finance System	Book 1: Chapter 8
35	Forefaising	Book 1: Chapter 6
36	Forfaiting vs Factoring	Book 1: Chapter 6
7	Cash Management Services	https://razorpay.com/learn/business-banking/cash-management/
38	Debt Securitization	https://www.investopedia.com/ask/answers/052015/what-are-some-historical-examples-debt-securitization.asp#:~:text=Debt%20securitization%20is%20the%20process,loans%20into%20a%20marketable%20security. https://www.investopedia.com/terms/s/securitization.asp
39	Credit Cards	https://www.investopedia.com/terms/c/creditcard.asp
40	Revision Classes	

ADDITIONAL WEB RESOURCES

1	Nptel: Money, Banking & Financial Markets https://onlinecourses.swayam2.ac.in/imb24_mg108/preview
---	---

GRADING AND ASSESSMENT

- **Sessional Test:** 15 marks
- **Assignment:** 10 marks



- **Attendance:** 5 marks
- **Final Examination:** 70 marks

COURSE POLICIES

- **Attendance:** Minimum 75% attendance is mandatory to appear in the final examination of the course.
- **Academic Integrity:** MIET's academic integrity policies apply. Plagiarism will not be tolerated.
- **Late Submissions:** Assignments and projects must be submitted by the specified timelines.

FACULTY INFORMATION

- **Office Hours**
Monday- (2:30 PM – 03:30 PM)
- **Contact Information**
sunanjita.mba@mietjammu.in